

## Trade Correction Request Form

On trade date  
 E-mail: [trading@legentclearing.com](mailto:trading@legentclearing.com)  
 Fax: (402) 384-6171

Post trade date  
 E-mail: [purchase.sales@legentclearing.com](mailto:purchase.sales@legentclearing.com)  
 Fax: (402) 384-6171

**NOTE: For market actionable corrections, contact the Trade Desk immediately at 800-776-5778**

**Original Trade Information (Required):**

Account # : _____	Account Registration : _____
Trade Date : _____	Type: _____
Buy / Sell : _____	Settlement Date : _____
Sym / CUSIP : _____	Quantity : _____
Requested By: _____	Price : _____

**Regulatory Information:**

TRACE / MSRB (Y or N) : _____	Execution Time : _____
Reported Price: _____	

**Reason for Correction:**

**Corrections:**

	Was:	Should Be:	Special Notes/Requirements:
01 Account #	_____	_____	_____
02 Account Type	_____	_____	_____
03 Quantity	_____	_____	_____
04 Security	_____	_____	_____
05 Price	_____	_____	_____
06 Exchange	_____	_____	_____
07 Commission	_____	_____	_____
08 Gross	_____	_____	_____
09 Concession	_____	_____	_____
10 As / Of or Settlement	_____	_____	_____
11 Interest	_____	_____	_____
12 Factor	_____	_____	_____
13 Buy to a Sell	_____	_____	_____
Sell to a Buy	_____	_____	_____
14 Bust	_____	_____	_____
16 Postage	_____	_____	_____
18 Handling	_____	_____	_____
22 TTO / Rep	_____	_____	_____
23 Other	_____	_____	_____
24 Reported Price	_____	_____	_____
25 Confirm Note	_____	_____	_____
27 Currency	_____	_____	_____

**Authorization:**

Requested by: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Broker

Authorized by: \_\_\_\_\_ Signature: \_\_\_\_\_  
B/D Principal or Branch Manager